

APR 19 2004

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
502-P-006

In Re Application Of: STEVEN ZULOFF

Serial No.
10/684,541

Filing Date
October 14, 2003

Examiner

Group Art Unit

Title: PORTABLE BLACK LIGHT DEVICE

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

Petition to Make Special Under 37 C.F.R. 1.102(D) On the Ground of Prospective Manufacture
Information Disclosure Statement
Information Disclosure Citation and Copies of Ten (10) Cited Patents
Declarations of: Gloria Tsui-Yip, Steven Zuloff and Tom Hamill in Support of Petition to Make Special
Acknowledgment Postcard

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **13-3731**
as described below.
- ☒ Charge the amount of **\$130.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Gloria Tsui-Yip
Signature

Dated: April 15 2004

Gloria Tsui-Yip, Esq.
Reg. No. 42,188
STOLL, MISKIN & BADIE
350 Fifth Avenue, Suite 4710
New York, NY 10118
(212) 268 0900

I certify that this document and fee is being deposited
on April 15, 2004 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Director of the United States Patent and Trademark Office,
P.O. Box 1450, Alexandria, VA 22313-1450.

Lenore Randrup
Signature of Person Mailing Correspondence

Lenore Randrup

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of :
Steven Zulloff :
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Serial No. 10/684,541 :
 :
Filed: October 14, 2003 :
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For: Portable Black Light Device :
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ATTORNEY DOCKET NO.: 502-P-006

The Empire State Building
350 Fifth Avenue
Suite 4710
New York, New York 10118

April 15, 2004

DIRECTOR OF PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

S I R:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §1.97-1.98, submitted
herewith is an Information Disclosure Statement on ten (10) U.S. Patents and Patent Application
Publications along with a completed form PTO-1449. Copies of the following ten (10) patents
and patent application publications are submitted herewith:

U.S. Patent No. 5,510,961
U.S. Patent No. 5,741,060

I hereby certify that this correspondence is being
deposited with the United States Postal Service
as first class mail in an envelope addressed to:
Director of Patents, P.O. Box 1450, Alexandria,
VA 22313-1450, on April 15, 2004


LENORE RANDRUP

April 15, 2004

Date

U.S. Patent No. 5,921,674
U.S. Patent No. 6,168,286
U.S. Patent No. 6,554,444
U.S. Patent No. 6,604,837
U.S. Patent No. 6,616,293
U.S. Patent No. 6,659,618
U.S. Patent Application Publication No. 2003/0151910
U.S. Patent Application Publication No. 2003/0231489

The following is a concise statement of the relevancy of each of the references listed on form PTO-1449:

U.S. Patent No. 5,510,961 discloses a cap having sound recording and generating functions and warning lights (LEDs) on the visor. The LEDs are located at the edge of the visor or on the surface of the crown of the cap directed away from the cap. The purpose of this cap is to enhance the safety of the wearer. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 5,741,060 discloses a cap having a visor and a crown, a pair of light source attached to the underside of the visor, and batteries are mounted in a remote location at the crown of the cap. The light source is directed forward of the wearer. The purpose of this cap is to provide a lighted environment for the wearer's activities, such as lighting a work task, changing tires in the dark etc. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 5,921,674 discloses the use of optical fibers or LEDs on an item of clothing such as a cap. The light source is located on the front crown area of the cap, directed forward. The purpose of this cap is for the light source to provide aesthetic and entertaining effect that can be remotely controlled to produce different effect. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 6,168,286 discloses a light source (LEDs) for attaching to the brim of a hat for directing light at the front crown area of a cap. The purpose of this patent is to draw attention to an insignia located at the front crown area of the cap. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 6,554,444 discloses a pair of goggles having a pair of light source (a set of LEDs) adjacent to the goggles, with one light source on each side. Each light source is directed forwardly in the direction of the wearer's gaze. The LEDs may be UV LEDs such that during a surgical operation, morbid parts attached by a fluorescent signing can be made visible. The purpose of this patent is to provide a lighted environment for the wearer's activities. This patent does not disclose the present invention of the combination of a cap with a visor having a

self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 6,604,837 discloses a device for holding a flashlight on top of the visor of a cap. The flashlight is directed forwardly to provide a lighted environment for the wearer's activities. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 6,616,293 discloses a light source attached to the upper surface of the visor of a cap. The light source is pivotable at different forward angles. The batteries for the light source are located at a remote location and attached to the edge of the headband. The purpose of this patent is to provide a lighted environment for the wearer's activities. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent No. 6,659,618 discloses a cap having a plurality of LEDs at the brim of the visor of a cap to provide a lighted environment for the wearer's activities. The batteries for the light source are located at a remote location at the adjustment band of the cap. This patent does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination

of a cap with a visor having a self-contained black light device for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

U.S. Patent Application Publication No. 2003/0151910 discloses a cap having a plurality of LEDs on the upper or lower surfaces of the visor. The batteries for the LEDs are mounted in a remote location at the crown of the cap. The purpose of this cap is to enhance the safety of the wearer. This patent application publication does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent application publication does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

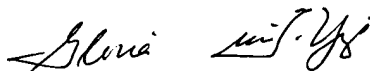
U.S. Patent Application Publication No. 2003/0231489 discloses a pivotable light source magnetically coupled to the upper or lower surfaces of the visor of a cap. The purpose of this patent application publication is to provide a lighted environment for the wearer's activities. This patent application publication does not disclose the present invention of providing a self-contained, portable, black light device using UV LEDs. Further, this patent does not disclose the present invention of the combination of a cap with a visor having a self-contained black light device that is not apparently visible to others for illuminating black light responsive pigments on the wearer's face or on an object attached to the cap.

The Examiner is respectfully requested to review each of the above-identified U.S. Patents and Patent Application Publications and make it of record in the instant application as required by M.P.E.P. §609.

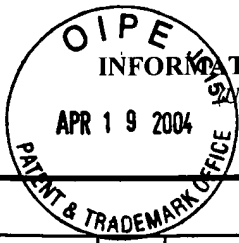
The patents and patent application publications listed in the Information Disclosure Statement comprise the most pertinent art known to the applicant and his attorney as of the date

hereof. This Information Disclosure Statement should not be construed as a representation that no better art exists.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Gloria Tsui-Yip".

Gloria Tsui-Yip
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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

502-P-006

Application Number

10/684,541

Applicant(s)

STEVEN ZULOFF

Filing Date

October 14, 2003

Group Art Unit

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|----------|---------------------|-------|----------|-------------------------------|
| | | 5,510,961 | 4/23/96 | Peng, Yu-Lin | | | |
| | | 5,741,060 | 4/21/98 | Johnson, Thomas | | | |
| | | 5,921,674 | 7/13/99 | Koczi, Wolfgang | | | |
| | | 6,168,286 | 1/2/01 | Duffy, Paul | | | |
| | | 6,554,444 | 4/29/03 | Shimada, J., et al. | | | |
| | | 6,604,837 | 8/12/03 | Sandberg, R. | | | |
| | | 6,616,293 | 9/9/03 | Mickey, S. | | | |
| | | 6,659,618 | 12/9/03 | Waters, M. | | | |
| | | 2003/0151910 | 8/14/03 | Marston, J. | | | |
| | | 2003/0231489 | 12/18/03 | Hsiao, Y. | | | |
| | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.